

# 1. 5 $\mu$ m Self Aligned Spotsizer Integrated DFB Fabricated by Selective Area Grown MOVPE

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**Abstract:** High performance 1.57 $\mu$ m spotsizer monolithically integrated DFB is fabricated by the technique of self aligned selective area growth. The upper optical confinement layer and the butt-coupled tapered thickness waveguide are regrown simultaneously, which not only offers the separated optimization of the active region and the integrated spotsizer, but also reduces the difficulty of the butt-joint selective regrowth. The threshold current is as low as 4.4mA. The output power at 49mA is 10.1mW. The side mode suppression ratio (SMSR) is 33.2dB. The vertical and horizontal far field divergence angles are as small as 9° and 15° respectively, the 1dB misalignment tolerance are 3.6 $\mu$ m and 3.4 $\mu$ m.

**Key words:** spotsizer converter; self aligned; butt-joint; selective area growth

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## 1 Introduction

The single-mode fiber (SMF) coupling of semiconductor lasers has been a challenging topic in optoelectronic module packaging. The root of the problem lies in the highly divergent and elliptic mode of conventional semiconductor lasers that does not match the mode of SMF's in both the size and the shape. The development of the expanded-mode laser diode has been proposed as a solution to this problem. Large optical mode approach and integration of an adiabatic spotsizer (SSC), commonly referred as taper, have been the main approaches to achieve a circularized lower divergence laser output beam. There are two kinds of methods of achieving vertically ta

pered waveguide monolithically integrated DFB lasers<sup>[1~4]</sup>. One is the all-selective MOVPE grown buried heterostructure (ASM-BH) LDs, where the active region and the waveguide are selectively grown simultaneously<sup>[1,4]</sup>. The advantage of this type LD is the simplicity of selective growth. But the disadvantage lies in the lack of the separated optimization of the active region and the waveguide, which can induce excess absorption loss in the waveguide. The other method is the butt-joint spotsizer integrated LDs<sup>[2,3]</sup>. The advantage of this method is the separated optimization of the active region and the waveguide, but its difficulty in selective regrowth is obvious.

We have fabricated SSC integrated DFB lasers with

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ridge waveguide structure<sup>[5]</sup>. The vertical far field angle is reduced significantly. But the lateral far field angle is not improved due to the ridge waveguide structure. In this paper, we propose the technique of self-aligned selective area growth to fabricate the SSC integrated DFB, where the upper optical confinement layer and the integrated vertically tapered waveguide are selectively grown simultaneously by using twin masks. The active region and the integrated waveguide can be separately optimized. The difficulty of selecting over growth of the butt-joint integration between the waveguide and the active region is reduced significantly. Furthermore, the buried heterostructure is proposed, both the lateral and the vertical far field divergence angles can be improved.

## 2 Device structure and fabrication process

Figure 1 is the schematic structure of the device. The SSC region consisting of a bulk layer is self-aligned butt-jointed the strained MQW active layer. For the SSC structure, we proposed a taper waveguide with changing thickness in the vertical direction. This is selectively grown during the simultaneously growth with the upper optical confinement layer of the laser device. An exponential taper shape can easily be obtained during selective growth. The slope provides low radiation loss in the SSC region<sup>[6]</sup>.

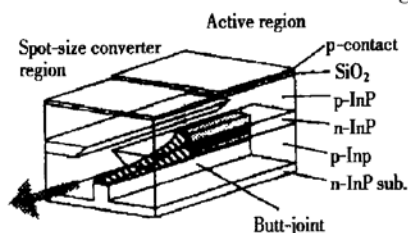


Fig. 1 Schematic structure of the SSC integrated DFB

The SSC integrated DFB was fabricated in four steps of MOVPE growth. N type InP buffer layer, 100nm InGaAsP lower optical confinement layer, strained multi-quantum well(MQW), which was optimized to the laser

performance, were grown in the first step MOVPE. Then the MQW active layer was etched down to the buffer layer in the SSC region and the DFB mesa was formed. A dielectric thin film of 150nm SiO<sub>2</sub> was deposited on the wafer. The masks shown in Fig. 2 then were patterned to form the taper waveguide on the wafer. The forming of taper waveguide, the bulk waveguide and the upper optical confinement layer were selectively grown simultaneously in

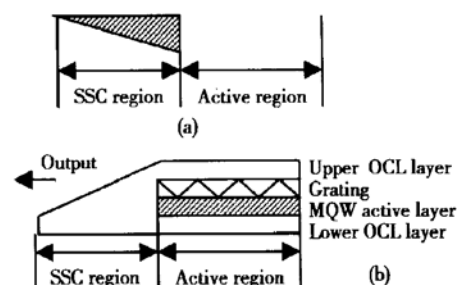


Fig. 2 (a) Mask shape of the SSC integrated DFB; (b) Lateral side view of the schematic structure of the device

the second step of MOVPE. The bandgap wavelength of the materials of the tapered waveguide and the upper optical confinement layer was set to around 1.2  $\mu\text{m}$ . The mask shape was designed to control the taper shape waveguide thickness for low-loss coupling to the active layer at the joint portion and to an optical fiber at the front tip. The SiO<sub>2</sub> masks were removed after selective area growth. The grating was formed by reactive ion etching (RIE) in the upper optical layer to form index coupling, while the SSC region was covered by SiO<sub>2</sub>. A uniform stripe along the reverse mesa direction was formed by wet etching. PNP current blocking layer was grown in the third step of MOVPE. 1.5  $\mu\text{m}$  p-InP cladding layer and 0.2  $\mu\text{m}$  p<sup>+</sup>-InGaAs contact layer were grown in the fourth step of growth. Au/Zn/Au alloy was deposited on the p side of the wafer to form the p electrode, and Au/Ge/Ni alloy was deposited on the n side of the wafer to form the n electrode after it was thinned to 100  $\mu\text{m}$ .

### 3 Characteristics

Typical  $P$ - $I$  characteristics was shown in Fig. 3. The threshold current was 4.4mA at room temperature. The output power was 10.1mW at the current of 49mA. No

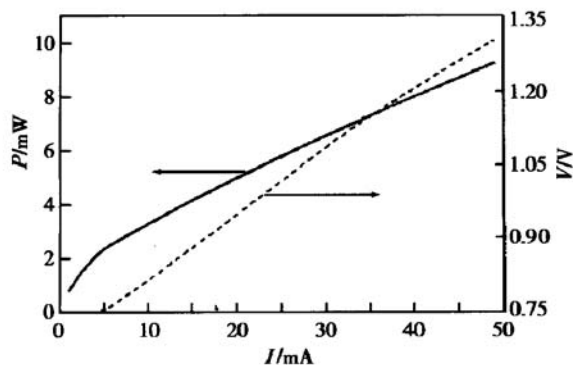


Fig. 3 Typical  $P$ - $I$ ,  $I$ - $V$  curves of device

degradation of the threshold current characteristics was observed inside and outside the SSC region because of the low absorption in the SSC region buried by the PNP current-blocking layer. The emission spectrum of the device is shown in Fig. 4. The suppression ratio of side mode was 33.2dB. The single mode yield of the integrated device was more than 85%, which meant the grating had high performance. The 3D

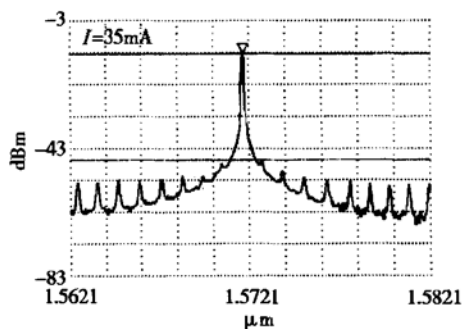


Fig. 4 Emission spectrum of SSC integrated DFB

view of the spot size of the SSC integrated DFB is shown in Fig. 5. The vertical and horizontal far field patterns were given in Fig. 6. The FWHM of the vertical and the horizontal angles of the device were as small as  $9^\circ$  and  $15^\circ$  respectively. The characteristics of direct coupling to a cleaved single mode fiber were given in Fig. 7. The 1dB misalignment tolerance was 3.6 $\mu$ m in vertical and 3.4 $\mu$ m in horizontal direction.

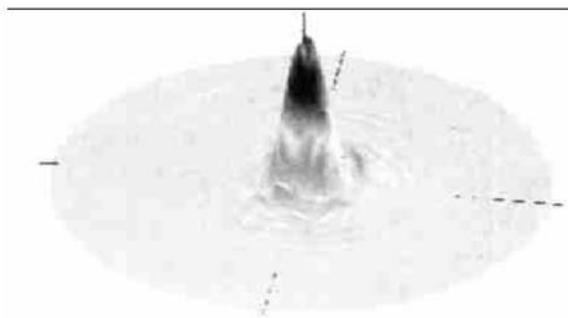


Fig. 5 3D view of the far field spot of the SSC integrated DFB

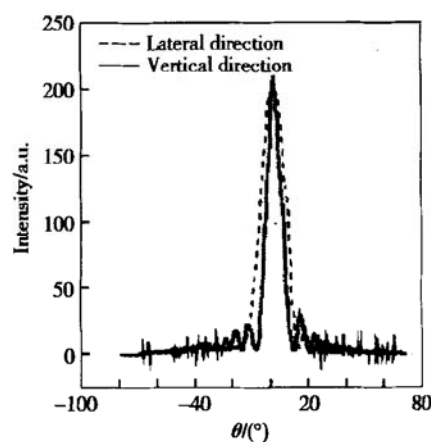


Fig. 6 Far field divergence pattern in vertical and horizontal direction

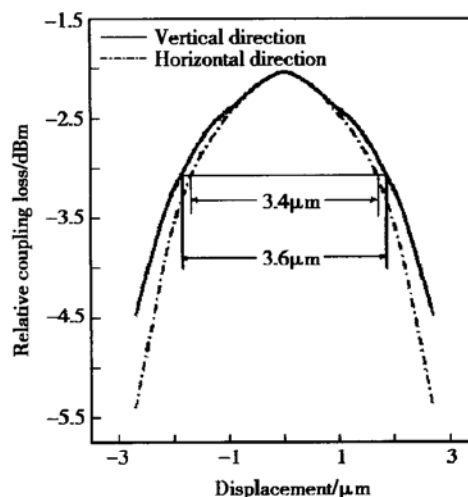


Fig. 7 1dB misalignment tolerance in vertical and horizontal direction

## 4 Conclusion

The self-aligned spotsize converter integrated DFB was fabricated by selective LP-MOVPE. The FWHM of the vertical and horizontal divergence angles were  $9^\circ$  and  $15^\circ$ . The 1dB misalignment tolerance was  $3.6\mu\text{m}$  in vertical and  $3.4\mu\text{m}$  in horizontal direction. The threshold current was as low as  $4.4\text{mA}$ . The output power was  $10.1\text{mW}$  at  $49\text{mA}$ . The suppression ratio of side mode was  $33.2\text{dB}$ .

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## 选择外延技术研制 $1.5\mu\text{m}$ DFB 激光器和自对准模斑转换器集成器件

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**摘要:** 利用选择外延技术研制了  $1.5\mu\text{m}$  DFB 激光器和自对准模斑转换器单片集成器件. 激光器的上限制层与垂直方向上楔型波导的模斑转换器同时选择性生长, 这样的方法不仅可以分别优化有源区和模斑转换器的材料, 同时可以降低选择性生长对接结构的难度. 所研制集成器件的阈值为  $4.4\text{mA}$ , 在  $49.5\text{mA}$  下的输出功率为  $10.1\text{mW}$ , 边模抑制比为  $33.2\text{dB}$ , 垂直方向和水平方向上的远场发散角分别为  $9^\circ$  和  $15^\circ$ , 1dB 偏调容差分别为  $3.6\mu\text{m}$  和  $3.4\mu\text{m}$ .

**关键词:** 模斑转换器; 自对准; 对接; 选择外延

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邱伟彬 男, 博士研究生, 主要研究方向为半导体光电子器件和模斑转换器集成. 选择外延 MOCVD、偏振不灵敏半导体光放大器和偏振不灵敏电吸收调制器单片集成.

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